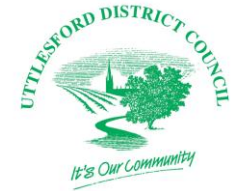
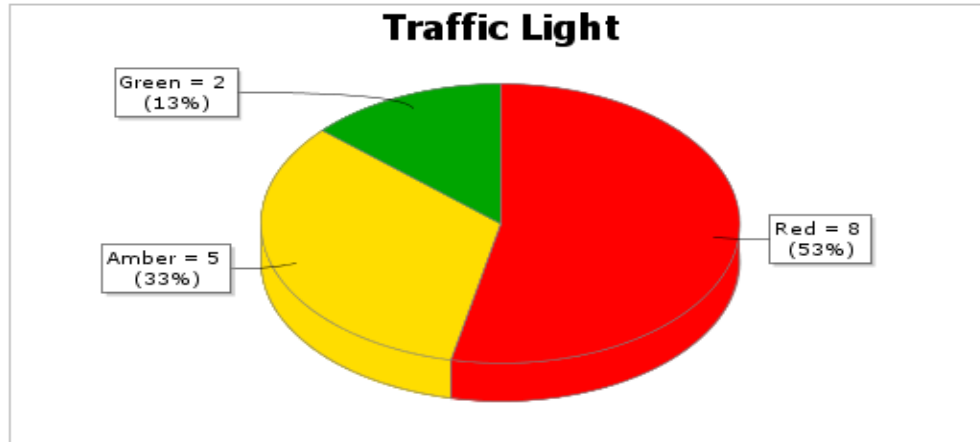





# Corporate and Strategic Risk Register 2012-13 - Quarter 1








**Report Type:** Risks Report  
**Report Author:** Debra Admin\_Collins  
**Generated on:** 02 August 2012








Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
12-CR-ENV-01 Affordable Housing	Failure to find a balance between the delivery of affordable housing, in order to meet the need for accommodation, and sourcing sites acceptable to the community	3	3	3	3	9		2	2	Adherence to the Core Strategy timetable coupled with consistent communication and community engagement. Strong political leadership to ensure adoption of Local Development Framework	Actions identified in the Housing Business Plan including opportunities for LA new build	Roger Harborough


Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
12-CR-ENV-02 Risk of increased recycling costs	Risk of increased costs due to fluctuating pricing structure for recyclable materials and increasing cost of landfill.	3	3	3	3	9		3	1	Explore option of arranging own bulk transport between transfer station and MRF if ECC recharges for transport are unfavourable	New contract for dry recyclables operating since April 2012 secures position for the next 5 years	Roger Harborough
12-CR-ENV-03 Lack of public engagement in recycling scheme	Public fails to engage in new recycling scheme resulting in recycling rate not increasing.	3	2	3	2	6		3	1	Continued communication with residents about the importance of recycling to further increase the district's recycling rate. Introduction of waste service improvements including garden waste collection	Ongoing promotion campaign	Roger Harborough
12-CR-ENV-04 Potential increase in environmental crime	Potential for more cases of environmental crime leading to increased pressures on enforcement and other service areas and possible complaints	3	2	3	2	6		2	2	Training for Enforcement officers and closer working with PCSO's leading to an increase in the number of fixed penalty notices issued	One officer has received training on the issuing of FPNs. Policies and procedures have been drawn up. The Police have indicated a willingness to assist with CSOs on an ad hoc basis depending on police operational needs. Members have approved reduced penalties for prompt payment to improve the effectiveness of FPNs. Patrols to commence by end of May 2012	Michael Perry





Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
12-CR-ENV-05 Lack of available funds for Highways improvement	Little money available for Highways improvements due to pressures on County Council budget	3	3	3	3	9		2	3	Targeted improvements in district due to local member involvement in Highways Panel/Locality Board	Prioritisation process to ensure available funding is used to best effect	Roger Harborough
12-CR-ENV-06 Inability to deliver HRA Business Plan	Government reforms or changes in income/ expenditure from assumed levels impair the Council's capacity to deliver the intended outcomes of the HRA business plan, such as new build and stock enhancements	3	3	3	3	9		2	2	Regular review of HRA budget and business plan by Housing Board. Ensuring that servicing debt and RTB requirements are prioritised. Develop plans to use available headroom	A mid year review will be undertaken in September. At this stage there are no significant adverse fluctuations, but more progress is needed to develop plans for using the headroom	Roger Harborough
12-CR-FIN 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	4	1	4	1	4		4	1	A Corporate Team was established in 2010. Savings achieved to date have been approx £1.8 million per annum	Savings achieved to date £1.8 million. Major projects being implemented including waste service changes and introduction of IDOX system for planning, environmental health, land charges, building control, and corporate mapping and electronic filing should realise a further £1.1 million	Adrian Webb

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
12-CR-FIN-02 External factors impact negatively on Council's finances	External factors, such as the reforms to local government finance, negatively impact on Council's finances	3	3	3	3	9		2	2	Work with local government partners to share the risks and benefits of reform	Technical consultation documents have been issued by DCLG, and Essex authorities are working together to understand their impacts and explore opportunities for collaboration and mutual support. However, uncertainty will continue until the Local Government Finance Settlement is published in December	Stephen Joyce
12-CR-PAR-01 Key partners unable to contribute to LSP	Key partners are unable to contribute to the LSP because of changes elsewhere in the public sector. The concept of the Big Society may be difficult to communicate	2	3	2	1	2		2	2	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued engagement with partners and the community through channels such as Citizens Panel, Community Forums and Tenant Forum. Review the working of the LSP to ensure it meets the needs of the council, its partners and the community	The first Board meeting under the new structure took place on Tuesday 17th July. The commitment from partners to make the partnership work is evident and there is confidence that sound results will follow.	John Mitchell

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
12-CR-PEO-01 Failure to embed Equality & Diversity and H&S	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community	3	2	3	2	6		3	1	Necessary information available to all staff and regular training given	The action plan is updated. Training for all staff is being arranged.	John Mitchell
12-CR-PEO-02 Failure to provide and implement a sound economic strategy	Failure to provide and implement a sound economic strategy which could lead to a failure to support existing businesses and attract new investment	3	2	2	3	6		2	2	Develop and implement a sound economic strategy in conjunction with West Essex partners and allocate budget to support this work	Engagement with business sector to identify its key issues	Roger Harborough
12-CR-PEO-03 Risk of adverse impact from reform of council tax benefits	The reform of council tax benefits could adversely impact some people currently in	3	2	3	4	12		2	4	Work with JobCentre Plus to promote change to system and maximise employment opportunities. Work with other Essex	There is no doubt that non-vulnerable working age CTB claimants will be adversely impacted. There are financial risks	Stephen Joyce

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
	receipt of benefits.									Councils to develop a single County-wide scheme to give continuity to claimants moving within the County.	to the Council as detailed in Cabinet reports  (NB Stephen requested that the target risk score for likelihood be amended to 4 (from 2)).	
12-CR-PEO-04 Adverse impact from other welfare reforms	Reforms to Housing Benefit and other welfare changes cause hardship to some people and operational difficulties for the Council.	3	4	3	4	12		2	4	Close liaison with partner agencies including CAB. Clear and proactive communications with affected people. Resource planning	Work is in progress to assess impacts of some HB changes. LCTS is the immediate priority	Roger Harborough; Stephen Joyce
12-SR-01 Disruption of Council business	Disruption of council business e.g. due to loss of building, widespread staff absence or extreme weather conditions	4	2	4	2	8		3	2	Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents.  Ensure relevant HR policies are in place and understood	Business Continuity Plan review 75% completed. Accommodation and updated media plan to be finalised.	Michael Perry

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
12-SR-02 Risk of major emergency	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	1	2	1	2		2	1	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	Review of the emergency plan currently underway, with completion due by 30 June 2012. Good working relationships exist with the Emergency Planning Team at Stansted Airport and in other authorities. The DEPO has organised and participated in joint emergency planning exercises with these teams.	Michael Perry

Risk Status	
	Alert
	High Risk
	Warning
	OK